

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	§	Serial No:	Unassigned
Richard E. SMALLEY et al.	§		(divisional of application
	§		Serial No. 09/830,642)
	§		
For: GAS-PHASE NUCLEATION AND	§	Filed:	Concurrent Herewith
GROWTH OF SINGLE-WALL CARBON	§		
NANOTUBES FROM HIGH PRESSURE	§	Group Art Unit:	1754 (anticipated)
CO	§		
	§	Prior Examiner:	Peter Lish
	§		(703) 308-1772
Atty Dkt: 11321-P006WOUS	§		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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Pursuant to 37 C.F.R. § 1.10, I hereby certify that I am personally depositing this paper or fee with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above in a sealed envelope (a) having the above-numbered Express Mail label and sufficient postage affixed, and (b) addressed to the Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on December 8, 2003.

*James E. Sechrest* 12/8/03

**PRELIMINARY AMENDMENT ACCOMPANYING REQUEST FOR FILING  
DIVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)**

Sir:

This paper accompanies a Request for Filing Divisional Application Under 37 C.F.R. § 1.53(b) and associated filing fee therefore ("the Request"). If the fee payment is missing or insufficient in amount, or if any other fees are determined to be due, the Assistant Commissioner, Commissioner, and/or the Director of the U.S. Patent & Trademark Office is/are hereby authorized to charge any such fees (or credit any overpayment) to Winstead Sechrest & Minick Deposit Account No. 23-2426, referencing matter number 11321-P006US.

Also attached hereto is the Application Data Sheet.

**Amendments to the Title** are reflected on page 2 of this paper.

**Amendments to the Specification** are reflected on page 3 of this paper.

**Amendments to the Claims** are reflected in the listing of claims that begins on page 4 of this paper.

**Remarks** begin on page 8 of this paper.

**In the Title**

Please amend the title as follows:

~~-- GAS-PHASE NUCLEATION AND GROWTH OF SINGLE-WALL CARBON NANOTUBES~~  
FROM HIGH PRESSURE CO --